

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): Mark S. Moriconi et al.

Appl. No.: 09/721,557

Confirm. No.: 5061

Filed: November 22, 2000

Title: SYSTEM AND METHOD FOR MAINTAINING
SECURITY IN A DISTRIBUTED COMPUTER
NETWORK

PATENT APPLICATION

Art Unit: 2137

Examiner: Michael J. Pyzocha

Customer No. 23910

CERTIFICATE OF TRANSMISSION/MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being electronically transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**, on the date shown below.

/Susan Boucher/

Susan Boucher

Signature Date: June 19, 2007

(Signature)

**TRANSMITTAL LETTER RE INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.56**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

Enclosed with this statement are the following:

- ☒ Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- ☒ As allowed under 37 C.F.R. § 1.98(a)(2)(ii), no copies of the U.S. patents and U.S. patent application publications are enclosed, unless required by the office.

This statement should be considered because:

____ **37 C.F.R. §1.97(b).** This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:

- (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d);
-- OR --
- (2) It is being filed within 3 months of entry of a national stage;
-- OR --
- (3) It is being filed before the mailing date of the first Office Action on the merits,
-- OR --
- (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.

✓ **37 C.F.R. §1.97(c).** Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:

- (1) It is being filed before the mailing date of a FINAL Office Action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.
-- AND (*check at least one of the following*) --
- (a) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(c).
-- OR --
- ✓ (b) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

✓ **Fee Authorization.** The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment to Deposit Account No. 06-1325.

Respectfully submitted,

FLIESLER MEYER LLP

Date: June 19, 2007

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Form PTO-1449 <i>(Substitute)</i> U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE <p style="text-align: center;"><i>Information Disclosure Statement</i> BY APPLICANT <i>(Use several sheets if necessary)</i></p>		Attorney Docket Number BEAS-01453US1		Serial/Patent Number 09/721,557			
		Applicant/Patent Owner Mark S. Moriconi et al.					
		Filing/Issue Date November 22, 2000		Group Art Unit 2137			
U.S. PATENTS							
Examiner Initial		Patent Number	Issue Date	Inventor(s)	Class	Subclass	Filing Date
		5,757,669	05/26/98	Christie et al.			
		5,889,953	03/30/99	Thebaut et al.			
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		Parker, Elisabeth, "The Complete Idiot's Guide® to Microsoft® FrontPage 2000, QUE®, Indianapolis, IN, pages 7 and 52 (1999).					
		Rossi, Gustavo, et al., "Designing Personalized Web Applications, ACM, WWW 10, Hong Kong, May 1-5, 2001, pages 275-284.					
<u>Examiner</u>				<u>Date Considered</u>			

Form PTO-1449 (Substitute)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number BEAS-01453US1	Serial/Patent Number 09/721,557
Information Disclosure Statement BY APPLICANT <i>(Use several sheets if necessary)</i>		Applicant/Patent Owner Mark S. Moriconi et al.	
		Filing/Issue Date November 22, 2000	Group Art Unit 2137
<u>OTHER DOCUMENTS</u> (Include author (if any), title, publisher and place of publication, date and pertinent pages)			
		Tanyi, Emmanuel, "Easy XML," www.winsite.com , pages 1-6 (March 2000).	
		"Method and System for Visually Constructing Document Type Definitions and Related Artifacts Using a Reusable Object Model," IBM Technical Disclosures Bulletin, May 31, 2001, 3 pages.	
<u>Examiner</u>		<u>Date Considered</u>	
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			
*1 = Copy not submitted because it was submitted in prior application SN ____/____, filed _____, 20____, relied on under 35 USC §120. *2 = Copy not submitted because it was submitted in prior application SN ____/____, filed _____, 20____, relied on under 35 USC §120.			